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PATENTS  
Attorney Docket No. 593/004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant(s) : Thomas E. Borillo, Dean Peterson and  
Gregg S. Sutton

Application No. : 09/932,512 Confirmation No. : 633

Filed : August 17, 2001

For : EXPANDABLE IMPLANT DEVICES FOR  
FILTERING BLOOD FLOW FROM ATRIAL  
APPENDAGES

Group Art Unit : 3763

New York, New York 10020  
March 27, 2003

Hon. Commissioner for Patents  
Washington, D.C. 20231

FIRST SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,  
applicants wish to call the attention of the Examiner to the  
following document:

DOCUMENT NUMBER	DATE	COUNTRY
WO 98/02100	01/22/1998	PCT

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APR-9 2003  
TO \$100 MAIL ROOM

This document is listed on the accompanying Form PTO-1449 (submitted in duplicate) and a copy is enclosed herewith. This document was first cited in an International Search Report in a foreign patent application, which is a counterpart of another co-assigned and co-owned U.S. Patent application (S/N 10/094,730).

It is respectfully requested that this reference be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Applicants believe that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action on the merits, therefore, pursuant to 37 C.F.R. § 1.97(b)(3), applicants believe that no fee is required in connection with this Information Disclosure Statement. However, if for any reason a fee is due, the Director is hereby authorized to charge all fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this Information Disclosure Statement is enclosed.

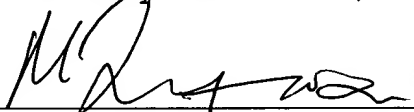
Precautionary Certification  
Pursuant to 37 C.F.R. § 1.97(e)(2)

No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in

the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

An early and favorable action is respectfully requested.

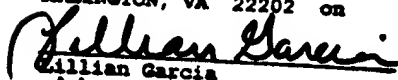
Respectfully submitted,



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